

Recent Developments in the East China Sea

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CHINESE PATROLS IN JAPANESE WATERS

- First Chinese patrol in 12nm territorial waters around Senkaku/ Diaoyu Islands in December 2008.
- Three subsequent patrols in territorial waters prior to Japanese government purchase of islands in September 2012.
- Chinese patrols in Japanese waters show an uptick during some politically sensitive incidents.
- Chinese incursions in the territorial waters have diminished since October 1, 2013.
- Chinese incursions and Japanese intercepts are mostly predictable and professional. Risk of accident is low unless a third factor is introduced such as fishing boats or activists seeking to land on the islands.



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September 2012 to October 2013 (http://www.kaiho.mlit.go.jp/senkaku/index.html) (Japan CG)

CHINESE PATROLS IN JAPANESE WATERS



• Sep 2012: Japan purchases Senkaku/Diaoyu

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- Dec 2012: Abe's LDP wins majority in Japan parliament
- **Feb 2013:** Abe accuses CCP of stirring anti-Japanese sentiments to gain legitimacy
- Apr 2013: 3 Abe ministers visit Yasukuni; media tries to land on islands; Abe says mulling troops on islands
 Aug 2013: 3 Abe ministers visit Yasukuni; Abe sends homage as LDP president

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CHINESE PATROLS IN JAPANESE WATERS



- Dec 2013: Abe visits Yasukuni shrine ٠
- Feb 2014: US and Japan run Iron Fist Exercise, simulating • invasion of an island
- Apr 2014: Japan MOD announces plans to strengthen garrison of southern islands with anti-ship missiles

- May 2014: Abe delivers keynote speech at Shangri-La Dialogue
- Jul 2014: Abe administration decides to allow SDF right to collective self defense
- Aug 2014: 146 Diet members, including 2 cabinet ministers, visit Yasukuni

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BI-WEEKLY CHINESE PATROLS: DEC 2013 – MID-SEP 14, 2014



- o Uptick in patrols in territorial waters after Pres. Obama's Japan visit (April 23-25)
- o Significant drop in number of PRC ships in both contiguous zone and territorial waters in May
- o Escalation in PRC presence from mid-August to present (ships and patrols)



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CHINESE SHIPS IN JAPANESE WATERS SEP 2012 - OCT 2013

-Number of ships in territorial waters -----Number of ships in contiguous zone

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Data through 15 Sep 2014 from http://www.kaiho.mlit.go.jp/senkaku/index.html (Japan CG)

— Number of ships in contiguous zone



CHINESE SHIPS IN JAPANESE WATERS OCT 2013 – MID-SEP 2014

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Number of ships in territorial waters

140



OVERLAPPING ADIZ IN THE EAST CHINA SEA



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TRENDS IN AIR ACTIVITY AROUND JAPAN



- PRC sorties rose by 78% in 5 months following declaration of ADIZ in November 2013 (compared to 5 months prior)
- o Evidence that PRC aircraft may be intercepting Japanese aircraft with higher frequency¹
- o Since establishment of ADIZ, PRC intercepts increasingly executed by J-11 fighters²
- o Expanded PRC activity intended in part to demonstrate sovereignty, may signify improved operational ability

Data source http://www.mod.go.jp/e/d_act/ryouku/index.html (Japan MOD)

¹ Ian Forsyth, "The East China Sea ADIZ: Old Policy, New Packaging," China Brief, Jamestown Foundation, Volume 14, Issue 17, September 4, 2014

² Eric Heginbotham, "The Foreign Policy Essay: China's ADIZ in the East China Sea," Lawfare Blog, August 24, 2014

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- Chinese aircraft seen only near Senkaku/Diaoyu islands
 - o -----> Chinese aircraft
 - o Russian aircraft
- No records of PRC planes transiting Miyako Strait in latest Japanese MOD report
 - o First seen July 2013 (1 Y-8)
 - o Chinese planes in Miyako Strait last seen March 9, 2014



Country Time period	Russia	China	Taiwan	North Korea	Others	Total
Apr - Jun 2013	31	69	0	9	6	115
Jul - Sep 2013	105	80	1	0	7	193
Oct - Dec 2013	110	138	0	0	7	255
Jan - Mar 2014	113	128	0	0	6	247
Apr – June 2014	235	104	0	0	1	340
Total	594	519	1	9	27	1150

% Data by country includes estimation

o Number of Chinese air incursions show an uptick after declaration of the ADIZ





• April 2013 - March 2014: Japan scrambles jets against Chinese planes 415 times, up 36% from 306 in the previous year



Chinese aircraft in the region identified by JSDF include

Y-12 belonging to China's State
 Oceanic Administration (SOA) spotted
 on 13 separate instances between
 December 2012 and March 23, 2014,
 near the Senkaku/Diaoyu islands (only
 once violated 12nm zone in Dec 2012)

Map from <u>Defense of Japan 2014</u> (Japan MOD)

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- Other aircraft spotted by JSDF:
 - Formation of 2 H-6 bombers & 2 Y-8 surveillance aircraft seen 3 consecutive days passing through Miyako Strait starting October 27, 2013
 - o 1 Y-8 and 2 H-6 bombers transit Miyako Strait March 9, 2014
 - o Tu-154 intelligence gathering aircraft on 4 different occasions during November 2013
 - o A Y-15 belonging to SOA spotted near Senkaku/Diaoyu islands in January 2014
 - o J-11 fighters intercept Japanese patrol planes in May 2014
 - o Tu-154 intelligence gathering aircraft intercepted in June 2014, Chinese scramble two fighters in response

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CHINA'S DECLARED ACTIVITY IN THE ADIZ

- December 2013: China MND releases numbers on its activity after establishment of ADIZ
 - o Monitored 800 foreign warplanes between Nov 23, and Dec 22, 2013
 - o China sent 51 of its own aircraft on 87 policing or patrolling missions
 - o 56 airlines from 23 countries reported 21,475 scheduled flights
- January 2014: Information about patrols in ADIZ
 - o Claims "routine patrols have beefed up China's effective control over ADIZ"
 - o Multiple types of Chinese aircraft used to monitor, identify, track and warn multiple types of foreign military planes entering the ADIZ
- August 2014: Chinese MND releases information on intercepts against Chinese aircraft
 - o China reports that Japanese F-15s "twice attempted to approach Chinese patrol planes conducting routine patrol of the ADIZ"



CHINESE MILITARY ACTIVITY AROUND JAPAN

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- Chinese naval vessels routinely conduct exercises through diverse routes between the East China Sea and the Pacific Ocean
- The Chinese navy and air force conduct frequent training and information gathering activities in the sea/air area surrounding Japan

Map from <u>Defense of Japan 2014</u> (Japan MOD)

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IMPLICATIONS FOR THE UNITED STATES

- o Risk of accident between China and Japan exists in East China Sea, with greatest danger being an air collision.
 - This is against the background of a leader, Xi Jinping, who has a higher tolerance for risk than his predecessors
- o Attention should be paid to deterring "gray zone" incidents and preventing escalation of such incidents if they occur.
- o There is a pressing need for risk avoidance measures between China and Japan, especially hotlines (navy, air force, coast guard), agreement on air intercept procedures and other rules of the road.
- o China believes it has changed the status quo in its favour in the East China Sea
 - Pressure and coercion have enabled China to make progress and it sees no reason to make concessions
 - Chinese believe the shifting balance of power and internal factors in Japan will lead Tokyo accommodate to China
- o Can the US change China's calculus and strategy?
 - Reputational cost, strengthen US-Japan alliance, counter-balancing, TPP

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